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April 8, 2009

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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Art Unit 1633

Attn: Mail Stop Amendment

Re:

U.S. Utility Patent Application

Application No. 10/761,435; Filing Date: January 22, 2004

For: Fusion Constructs and Use of Same to Produce Antibodies With Increased Fc Receptor Binding Affinity and Effector Function

Inventors: UMAÑA et al.

Our Ref: 1975.0180003/TJS/M-N

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Online Credit Card Payment Authorization in the amount of \$180.00 to cover:

\$180.00 Information Disclosure Statement Fee;

- 2. Second Supplemental Information Disclosure Statement;
- 3. Form PTO/SB/08B (1 sheet) listing 3 documents (NPL80-NPL82); and
- 4. Copies of cited documents (NPL80-NPL82).

The above-listed documents are filed electronically through EFS-Web.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents April 8, 2009 Page 2

Fee payment is provided through online credit card payment. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTERN & FOX P.L.L.C.

Timothy J. Shea, Jr. Attorney for Applicants Registration No. 41,306

TJS/M-N/lrd Enclosures

937265_1.DOC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 3728

UMAÑA et al. Art Unit: 1633

Appl. No.: 10/761,435 Examiner: Burkhart, Michael D.

Filed: January 22, 2004 Atty. Docket: 1975.0180003/TJS/M-N

For: Fusion Constructs and Use of

Same to Produce Antibodies With Increased Fc Receptor Binding Affinity and Effector Function

Second Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97 (c)

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Form PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. This Second Supplemental Information Disclosure Statement is a continuation of Applicants' First Supplemental Information Disclosure Statement filed on May 23, 2008, in connection with the above-captioned application.

Copies of documents NPL80 to NPL82 are submitted.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication

dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.

The required fee is provided through online credit card payment authorization in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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